

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
	)	
Teng POO	)	Group Art Unit: 2137
	)	
Application No.: 09/898,310	)	Examiner: Shewaye GELAGAY
	)	
Filed: July 3, 2001	)	
	)	
For: Portable device having biometrics-based	)	Confirmation No.: 2223
authentication capabilities	)	
	)	

U.S. Patent and Trademark Office  
Mail Stop: Amendment  
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Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(c)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), applicant brings to the attention of the Examiner the document listed on the attached Form PTO/SB/08. This Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final Action, Quayle action or a Notice of Allowance. Under the provisions of 37 C.F.R. §1.97(c), this Information Disclosure Statement is accompanied by a fee of \$180.00 as specified by Section 1.17(p).

Copies of the listed foreign patent publications and non-patent documents are attached. Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

For Chinese Patent Publication No. CN 1291750 A, in lieu of a statement of relevance or translation of non-English document, a copy of corresponding U.S. Patent No. 6,829,672 B1 is enclosed. For Taiwanese Patent Publication No. 446851, in lieu of a statement of relevance or translation of non-English document, a copy of corresponding European Patent No. EP 1 146

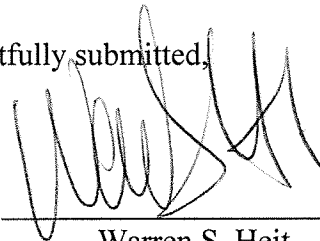
559 B1 is enclosed. For Korean Patent Publication No. KR 1999-0062951 A, in lieu of a statement of relevance or translation of non-English document, a copy of corresponding European Patent Publication No. EP 0 923 018 A2 is enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicant determines that the cited documents do not constitute "prior art" under United States law, applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any additional fee due in connection with the filing of this Statement, the Commissioner is hereby authorized to charge the fee to White & Case LLP Deposit Account No. 50-3672.

Respectfully submitted,



By:

Warren S. Heit  
Reg. No. 36,828

WHITE & CASE LLP  
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New York, New York 10036  
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Dated: May 3, 2007

Enclosures

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**Complete if Known**

Application Number	09/898,310
Filing Date	7/3/2001
First Named Inventor	Teng Poo
Art Unit	2137
Examiner Name	GELAGAY, Shewaye
Attorney Docket Number	1601457-0008

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PALOALTO 78977 (2K)

Date Considered

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 42 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORM TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)				<b>Complete if Known</b> Application Number 09/898,310 Filing Date 7/3/2001 First Named Inventor Teng Poo Art Unit 2137 Examiner Name GELAGAY, Shewaye Attorney Docket Number 1601457-0008	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
	R	CN 1291750 A	04-18-2001	Deng		
	S	EP 0 674 290 B1	01-07-2004	Fujitsu Limited		
	T	EP 0 923 018 A2	06-16-1999	NEC Corporation		
	U	EP 1 085 521 A1	03-21-2001	Fujitsu Limited		
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	X	EP 1 215 877 A2, A3	06-19-2002	Canon Kabushiki Kaisha		
	Y	GB 2 197 734 A	05-25-1988	Louis Newmark PLC		
	Z	GB 2 361 558 A	10-24-2001	Ericsson		
	AA	JP 10-283292 A	10-23-1998	Tsuzuki Denki KK		
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	DD	JP 2000-181963 A	06-30-2000	Digital Technology KK		
	EE	JP 2001-069260 A	03-16-2001	NTT Docomo Inc.		
	FF	JP 2001-118046 A	04-27-2001	Chin Meisho		
	GG	JP 2001-128113 A	05-11-2001	Fuji Photo Film Ltd		
	HH	JP 2002-041247 A	02-08-2002	NEC Corp.		
	II	JP 2002-222022 A	08-09-2002	Toshiba Corp.		
	JJ	KR 1999-0018244 A	03-15-1999	LG Electronics		
	KK	KR 1999-0062951 A	07-26-1999	NEC Corporation		
	LL	KR 2000-0009757 A	02-15-2000	Samsung Electronics Co.		
	MM	KR 2000-0061817 A	10-25-2000	Samsung Electronics Co.		
	NN	KR 2001-0067730 A	07-13-2001	CLCSoft Co.		
	OO	KR 2001-0074291 A	08-04-2001	Sung Moon Jang		
	PP	KR 2001-0097886 A	11-08-2001	MEVOL.COM, Co.		
	QQ	KR 2002-0014193 A	02-25-2002	HANDY COM KOREA		

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<p><sup>1</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>2</sup>Applicant's unique citation designation number (optional). <sup>3</sup>See Kinds Codes of USPTO Patent Documents at <a href="http://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>4</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>5</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>6</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>7</sup>Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 42 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORM TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.</p>	

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			Art Unit	2137	
			Examiner Name	GELAGAY, Shewaye	
			Attorney Docket Number	1601457-0008	
Sheet	4	of	4		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	CCC	"A Widget Makes a Bright Debut," article in "Shenzhen Special Zone Daily," October 9, 1999.	
	DDD	"JBMail" article, PC Tip Magazine, Switzerland. December 2001	
	EEE	"WIBU-KEY User's Guide for Windows, DOS, OS/2 and MacOS on IBM compatible PCs and Macintosh Version 2.5", Pages 11 to 16 and 25 to 27, Published July 1998	
	FFF	Affidavit of Cheng Xiaohua of Netac Technology Co. Ltd., China; submitted to the High Court of the Republic of Singapore in Suit No. S672/2002/S; June 3, 2003	
	GGG	Claims filed by the applicant of EP 1234305 on 10/10/2006	
	HHH	Decision by the EPO to refuse EP publication no. 1234305 dated February 1, 2007.	
	III	Decision issued by The UK Patent Office in Application under Section 72 for revocation of patent No. GB 2371653 dated November 8, 2006	
	JJJ	FOUNDATIONS OF DECISION issued in Civil Appeals Nos 69 and 70 of 2005 in the Court of Appeals of the Republic of Singapore, December 30, 2005.	
	KKK	Minutes of Oral Proceedings held in EPO on 11/09/06 for EP Publication No. 1 234 305 issued on 02/01/2007	
	LLL	SHENZHEN NETAC TECHNOLOGY Co., Ltd publication "Very Small" floppy disk-Only Disk, October 4, 1999.	
	MMM	Statutory Declaration of Cheng Xiaohua of Netac Technology Co. Ltd., China; executed under oath in Singapore; September 8, 2003	
	NNN	TOSHIBA: Press Release November 12, 2001 "Toshiba and Sandisk Introduce a One Gigabit NAND Flash Memory Chip, Doubling Capacity of Future Flash Products," Pages 1-3, Published November 12, 2001	
	OOO	TOSHIBA: Press Release September 26, 2000 "Toshiba Introduces 512M bit and 1G bit NAND Flash Memories," Pages 1-2, Published September 26, 2000	

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